2015 Retirement Plan Proposal Questionnaire

This information will enable us to provide a meaningful initial proposal.

Karel - Gordon & Associates

707 SKOKIE BOULEVARD ∴ NORTHBROOK. ILLINOIS 60062-2805

TELEPHONE: (847) 564-5655

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Legal Name of Entity: _____

Fiscal Year End: _____

Type of Entity: ☐ C Corp.   ☐ S Corp.   ☐ Sole Prop.   ☐ LLC taxed as a Partnership   ☐ LLC taxed as a Corporation

CENSUS DATA:

Emp. #	Name (last, first initial)	Birth Date	Hire Date	Ownership Percentage, if any	Termination Date (if applicable)	2014 Final Compensation	Projected Annual Compensation for 2015	Estimated Employee 401K Deferral[1] (only if applicable)	Part time? (less than 1,000 hours)	Indicate Relationship to Owner, if any
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										

[1] This is the employee's portion, NOT the employer match. Indicate either the $ or % for this year.

Is there an existing plan in this entity: ☐ **Yes**   ☐ **No**

If yes, what kind of plan is it and please **attach a current Summary Plan Description and last year's valuation for the Plan.**

☐ SEP

☐ SIMPLE Plan

☐ 401(k) Plan

    ☐ With a Safe Harbor?
       ☐ 3% Non-Elective
       ☐ Safe Harbor Match

    ☐ Employer Match?
       ☐ Yes- Please detail: _____
       ☐ No

    ☐ Are you using Profit Sharing in your plan currently?
       What % has the employer historically been giving in Profit Sharing? _____%

    ☐ Defined Benefit or Cash Balance Plan

Are the owner(s) currently contributing the maximum allowable to the plan?
    ☐ **Yes**
    ☐ **No**

Do the owner(s) desire to contribute the following amounts into their plan?
    ☐ **$53,000 ($59,000 with catch-up)**
    ☐ **An amount above $53,000 ($59,000 with catch-up)**

Do any Shareholders of the Corporation have an ownership interest in any other business (either incorporated or unincorporated)?
    ☐ Yes -Please detail

_____
_____
_____

    ☐ No